

## Annual Quantitative 'top 5 brokers' Disclosure

### Baker Steel Capital Managers LLP

**Disclosure Period: 01/01/2020 to 31/12/2020**

This disclosure is being made pursuant to Article 65(6) of the MiFID II Delegated Organisational Regulation, which requires firms to disclose, for each class of financial instruments traded during the period, the top 5 investment firms where client orders were transmitted or placed for execution.

<b>Class of Instrument: <u>Equities - Shares and Depository Receipts</u></b>					
<i>tick size liquidity bands 1 and 2</i>					
<i>Notification if &lt;1 average trade per business day in the previous year: Y</i>					
Top five investment firms where client orders were transmitted or placed for execution	volume traded as % of asset class	orders executed as % of asset class	% of passive orders	% of aggressive orders	% of directed orders
RBC (LEI: TXDSU46SXBWIGJ8G8E)	30.7%	28.3%	n/a	n/a	0%
BMO (LEI: L64HM9LHPDOS1B9HJC68)	30.6%	49.7%	n/a	n/a	0%
LIBERUM (LEI: 213800U6KUF87S1KCC03)	30.4%	10.7%	n/a	n/a	0%
CANACCORD (LEI: ZBU7V5NIMN4ILRFC23)	6.9%	6.3%	n/a	n/a	0%
CORMARK (LEI: 254900ERUYVJVP34L36)	0.6%	0.6%	n/a	n/a	0%

<b>Class of Instrument: <u>Equities - Shares and Depository Receipts</u></b>					
<i>tick size liquidity bands 3 and 4</i>					
<i>Notification if &lt;1 average trade per business day in the previous year: Y</i>					
Top five investment firms where client orders were transmitted or placed for execution	volume traded as % of asset class	orders executed as % of asset class	% of passive orders	% of aggressive orders	% of directed orders
BMO (LEI: L64HM9LHPDOS1B9HJC68)	35.5%	48.9%	n/a	n/a	0%
RBC (LEI: TXDSU46SXBWIGJ8G8E)	26.6%	21.6%	n/a	n/a	0%
CLARUS (549300J3VGZXP7PEB59)	13.0%	11.9%	n/a	n/a	0%
CANACCORD (LEI: ZBU7V5NIMN4ILRFC23)	11.2%	8.0%	n/a	n/a	0%
LIBERUM (LEI: 213800U6KUF87S1KCC03)	6.4%	8.5%	n/a	n/a	0%

<b>Class of Instrument: <u>Equities - Shares and Depository Receipts</u></b>					
<i>tick size liquidity bands 5 and 6</i>					
<i>Notification if &lt;1 average trade per business day in the previous year: N</i>					
Top five investment firms where client orders were transmitted or placed for execution	volume traded as % of asset class	orders executed as % of asset class	% of passive orders	% of aggressive orders	% of directed orders
BMO (LEI: L64HM9LHPDOS1B9HJC68)	36.7%	52.4%	n/a	n/a	0%
RBC (LEI: TXDSU46SXBWIGJ8G8E)	32.8%	30.6%	n/a	n/a	0%
CANACCORD (LEI: ZBU7V5NIMN4ILRFC23)	21.5%	12.4%	n/a	n/a	0%
CLARUS (549300J3VGZXP7PEB59)	5.8%	2.8%	n/a	n/a	0%
LIBERUM (LEI: 213800U6KUF87S1KCC03)	0.6%	0.8%	n/a	n/a	0%

\*\*\*no other reporting for any other class of instrument\*\*\*

## **Important information**

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